Member of DJSI World, DJSI Europe

Company Information
Country: United Kingdom
Index market cap ($M): 9,706
Source: S&P Global BMI, 11 Nov 2020

CNH Industrial N.V. is the Dow Jones Sustainability Indices (DJSI) Industry Leader*, based on its S&P Global ESG Score as of 13 Nov 2020. This report provides an overview of the performance of the Machinery and Electrical Equipment industry and the relative positioning of CNH Industrial N.V. at the dimension and criteria level.

Industry Drivers
The ability of industrial machinery and equipment companies to innovate through product development is an important determinant of their competitiveness, and helps improve their customers' manufacturing productivity through the equipment itself, as well as value-added services. While improved resource efficiency, particularly with respect to energy and water, is already a well-established goal both in their operations and product design, manufacturers are also shifting from being “equipment suppliers” to “solution providers” (e.g., automation and connected solutions). This includes delivering integrated systems and supplementing their hardware offering with software to optimize the use of the asset (e.g., performance monitoring and predictive solutions to mitigate downtime). Increasing customer demand for remote communication and asset management is in line with the blueprint of the industry 4.0 revolution, the importance of which has been further highlighted by the COVID-19 pandemic. This industry shift opens up opportunities for companies to evolve into high-tech players, but also poses new challenges, such as attracting the right talent.

Company Performance
S&P Global has selected the most relevant criteria in each sustainability dimension based on their weight in the assessment and their current or expected significance for the industry. The spider chart below visualizes the performance of the industry leader against the best score achieved in each criterion and the average industry score.

2020 Assessment Results

Total Score
Industry Average Score
Company

Governance & Economic
Environmental
Social

Industry Overview
(as of 13 Nov 2020)

<table>
<thead>
<tr>
<th>Dimension</th>
<th>Maximum Score</th>
<th>Average Score</th>
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<tr>
<td>Total</td>
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<td>32</td>
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<tr>
<td>Governance &amp; Economic Dimension</td>
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<td>Environmental Dimension</td>
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<tr>
<td>Social Dimension</td>
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DJSI World
(as of 13 Nov 2020)

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<tr>
<td>Governance &amp; Economic Dimension</td>
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Corporate Sustainability Assessment

The Corporate Sustainability Assessment (CSA) is a comprehensive annual evaluation of companies’ sustainability practices and performance, with a coverage of over 7,300 companies representing approximately 95% of global market capitalization. The CSA is one of the longest standing ESG rating methodologies worldwide, dating back to 1999. The CSA assesses companies on the basis of 80–120 industry-specific questions across 61 industries. S&P Global ESG Scores, calculated from the CSA, are from 1 to 100 (best).

In SustainAbility’s Rate the Raters 2019 report, companies rated the CSA as the most useful ESG assessment thanks to its high level of transparency, its sector-specific view of material ESG issues, and its forward-looking incorporation of emerging sustainability risks and opportunities. In the 2020 report, which looked at the investor perspective, the CSA came out top among the highest-quality ratings and was cited as a “strong signal of sustainability.”

The CSA focuses on financially material economic, environmental, and social factors that are relevant to companies’ success, but that are underresearched in conventional financial analysis. Every year, the CSA undergoes rigorous methodological review in order to ensure that the most current material sustainability topics, as well as emerging, longterm sustainability themes often of interest to investors are reflected, and companies are challenged on topics that lack disclosure today.

As of January 2020 the CSA is issued by S&P Global, where it forms the foundation of company ESG disclosure to S&P Global for financially material ESG factors and will underpin the ESG research across our different divisions (S&P Global Ratings, S&P Dow Jones Indices and S&P Global Market Intelligence).

For over 20 years the results of the CSA are used for the annual rebalancing of the iconic Dow Jones Sustainability Indices (DJSI). CSA scores are used in numerous other S&P Dow Jones indices including the S&P 500 ESG.

S&P Global ESG Scores are made available to the global Financial markets via S&P Global Market Intelligence platforms, robustly linked to financial and industry data, research and news, providing integral ESG intelligence to make business and financial decisions with conviction.

Companies’ data and benchmarks from the CSA can now feed seamlessly into the analysis for S&P Global Ratings ESG Evaluation should companies want a forward-looking long-term opinion of their ability to handle future risk and opportunities.

Learn all about S&P Global’s ESG Solutions: www.spglobal.com/ESG

* Please note that DJSI Industry Leader status is determined on 13 Nov 2020, covering only companies eligible for inclusion in the Dow Jones Sustainability Indices. As the number of assessed companies increases throughout the year, the ranking of companies within an industry may also change.

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